

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Margaret Sue Ellis

Application No. 09/991,762

Filed: November 21, 2001

For: ANALYZING INVESTMENT DATA

Confirmation No.: 5316

Art Unit: 3691

Examiner: Tinkler, Muriel S.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
(Under 37 C.F.R. § 1.97(b)(4) and § 1.98)

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08 and PTO/SB/08b during examination of the above-identified patent application. The references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting the references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is requested to review the cited references and is encouraged to make his or her own determination of materiality of the references cited on the enclosed Form PTO/SB/08a and PTO/SB/08b. Pursuant to the requirements of 37 C.F.R. § 1.98(a)(2), a copy of the U.S. patent publication document is not provided, unless required by the Office. Photocopies of the non-patent literature documents are provided herewith.

This Information Disclosure Statement is filed concurrently with the filing of a Request for Continued Examination. Accordingly, pursuant to 37 C.F.R. § 1.97(b)(4), no fees are believed due with respect to this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

Application No. 09/991,762
Attorney Docket No. P001698.US.00

If the Examiner has any questions, please contact the undersigned attorney.

Dated: June 8, 2010

Respectfully submitted,



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Enclosures